

GAC: 2787 #2
8-29-00
cc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

KEN XIE *et al.*

SERIAL NO.: 09/525,369

FILING DATE: March 15, 2000

TITLE: METHOD, APPARATUS AND
COMPUTER PROGRAM
PRODUCT FOR A NETWORK
FIREWALL



Examiner: Not yet assigned

Art Unit: 2787

Attorney Docket No. 9967-003-999

May 25, 2000



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TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

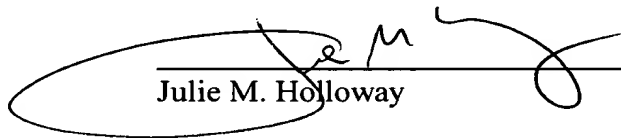
Assistant Commissioner for Patents
Washington, DC 20231

Transmitted herewith is:

- ☒ Information Disclosure Statement;
- ☒ List of References Cited;
- ☒ copies of references listed; and
- ☒ The Commissioner is hereby authorized to charge any fees deemed to be found to Deposit Account No. 16-1150 (9967-003-999).

Respectfully submitted,

Dated: May 25, 2000


Julie M. Holloway

44,769
(Reg. No.)

For: Thomas E. Friebe
PENNIE & EDMONDS LLP
1155 Avenue of the Americas
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(Reg. No. 29,258)

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §§ 1.56 and 1.97 to inform the Patent Office of all references coming to the attention of Applicants or attorneys or agents for Applicants which are or may be material to the examination of the subject application, Attorneys for Applicants hereby invite the Examiner's attention to the references listed on the accompanying revised PTO Form 1449 entitled "List of References Cited".

Identification of references listed on PTO Form 1449 is not to be construed as an admission of Applicants, or attorneys for Applicants, that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited." The right is reserved to antedate any item in accordance with standard procedure.

This submission is understood to complement the results of the Examiner's own independent search. The submission should not be construed as a representation that a search was made, or that the cited items are inclusive of all the relevant and material citations that may be available publicly.

Copies of each cited reference are enclosed. Applicants respectfully request that the Examiner review the attached references and that they be made of record in the file history of the above-captioned application.

Applicants believe that no fee is required with the submission of the enclosed List of References Cited because the IDS is filed within three months of the filing date of the application. However, if any fee is required, the Commissioner is authorized to charge any required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150 (9967-003-999) A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Dated: May 25, 2000


Julie M. Holloway

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(Reg. No.)

For: Thomas E. Friebe (Reg. No. 29,258)

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Enclosures

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